

**Feedback:** Please write down something from lectures that

(a) you enjoyed or found clear, *and/or* (b) is confusing to you, despite some thought.

## MT354/454/5454 Combinatorics: Sheet 1

Do questions 3, 4 and 5 and at least two other questions.

To be returned to McCrea 240 by noon on Tuesday 13th October or handed in at the Tuesday lecture.

Parts of questions marked (★) are optional and harder than average.

1. Prove that

$$r \binom{n}{r} = n \binom{n-1}{r-1}$$

for  $n, r \in \mathbf{N}$  in two ways:

- (a) using the formula for a binomial coefficient;
- (b) bijectively, by reasoning with subsets of  $\{1, 2, \dots, n\}$ .

2. Prove that

$$\sum_{k=0}^n k \binom{m}{k} \binom{n}{k} = n \binom{m+n-1}{n}.$$

[*Hint: use Question 1 and then aim to apply Vandermonde's convolution.*]

3. Let  $n, r \in \mathbf{N}$ . Prove that

$$\binom{r}{r} + \binom{r+1}{r} + \binom{r+2}{r} + \dots + \binom{n}{r} = \binom{n+1}{r+1}$$

in two ways:

- (a) by induction on  $n$  (where  $r$  is fixed in the inductive argument);
- (b) bijectively, by reasoning with subsets of  $\{1, 2, \dots, n+1\}$ . [*Hint: interpret each summand as counting the  $(r+1)$ -subsets with a particular maximum element.*]

4. Read from page 1 up to the end of Section 1.2 in *generatingfunctionology* and do parts (a), (b) and (c) of questions 1 and 3, and question 6(b) from the end of chapter exercises.

5. A lion tamer has  $n$  cages in a row. Let  $g(n, k)$  be the number of ways in which she may accommodate  $k$  indistinguishable lions so that no cage contains more than one lion, and no two lions are housed in adjacent cages.

- (a) Show that  $g(n, k) = g(n-2, k-1) + g(n-1, k)$  if  $n \geq 2$  and  $k \geq 1$ .
- (b) Prove by induction that  $g(n, k) = \binom{n-k+1}{k}$  for all  $n \in \mathbf{N}$  and  $k \in \mathbf{N}_0$  such that  $k \leq n$ .

(★) Find a bijective proof of the formula for  $g(n, k)$ .

6. Let  $n, k \in \mathbf{N}$ . How many solutions are there to the equation  $t_1 + t_2 + \cdots + t_n = k$  if the  $x_i$  are *strictly* positive integers, i.e.  $t_i \in \mathbf{N}$  for each  $i$ ?

7. Define

$$b_n = \binom{n}{0} + \binom{n-1}{1} + \binom{n-2}{2} + \cdots$$

for  $n \in \mathbf{N}_0$ .

- (a) Find the first few members of the sequence  $b_0, b_1, b_2, b_3, \dots$
- (b) State and prove a recurrence relating  $b_{n+2}$  to  $b_{n+1}$  and  $b_n$ .

8. (a) What is  $11^4$ ? Explain the connection to binomial coefficients.

(b) By considering a suitable binomial expansion prove that

$$\frac{4^n}{2n+1} \leq \binom{2n}{n} \leq 4^n.$$

9. Let  $p_n = d_n/n!$  be the probability that a permutation of  $\{1, 2, \dots, n\}$ , chosen uniformly at random, is a derangement. Using only the recurrence in Theorem 2.4, prove by induction that  $p_n - p_{n-1} = (-1)^n/n!$ ; hence give an alternative proof of Corollary 2.5.

10. Some further results on derangements.

(a) Let  $a_n(k)$  be the number of permutations of  $\{1, 2, \dots, n\}$  with exactly  $k$  fixed points. Note that  $d_n = a_n(0)$ . Use results from lectures to prove that

$$a_n(k) = \frac{n!}{k!} \left( 1 - \frac{1}{1!} + \frac{1}{2!} - \cdots + \frac{(-1)^{n-k}}{(n-k)!} \right).$$

Hence, or otherwise, give a simple expression for  $a_n(0) - a_n(1)$ .

(b) Use part (a) to give an alternative proof of Theorem 2.6(ii), that the average number of fixed points of a permutation of  $\{1, 2, \dots, n\}$  is 1.

(c) (★) Let  $e_n$  be the number of derangements of  $\{1, 2, \dots, n\}$  that are even permutations, and let  $o_n$  be the number that are odd permutations. By evaluating the determinant of the matrix

$$\begin{pmatrix} 0 & 1 & 1 & \cdots & 1 \\ 1 & 0 & 1 & \cdots & 1 \\ 1 & 1 & 0 & \cdots & 1 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & 1 & \cdots & 0 \end{pmatrix}$$

in two different ways, prove that  $e_n - o_n = (-1)^{n-1}(n-1)$ .

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## MT354/454/5454 Combinatorics: Sheet 2

Do questions 2, 3 and 4 and at least one other question.

To be returned to McCrea 240 by 10am on Thursday 22nd October or handed in at the Thursday lecture.

Parts of questions marked (★) are optional and harder than average. A variation on Question 9 will form part of the MSc Mini-project.

1. How many numbers between 1 and 2011 are not divisible by either 2 or 3? How many are not divisible by either 2, 3 or 5? Illustrate your answers with Venn diagrams.
2. How many numbers in the interval  $\{1, 2, \dots, 100\}$  are not divisible by any of 2, 3, 5 or 7? Use the PIE, making it clear which sets you are using. Hence find the number of primes  $\leq 100$ .
3. Do question 3(e)–(h) and Question 11 from the end of chapter exercises from Chapter 1 of Wilf *generatingfunctionology*. Please also read §1.3. [*Hint:* In Question 11, note the the notation  $[n] = \{1, 2, \dots, n\}$  is used.]
4. Euler's  $\varphi$  function is important in number theory. It is defined by

$$\varphi(N) = |\{a \in \mathbf{N} : 1 \leq a \leq N, a \text{ is coprime to } n\}|.$$

For example, when  $N = 10$ , the integers  $a$  such that  $1 \leq a \leq 10$  and  $a$  is coprime to 10 are 1, 3, 7, 9; note that these are precisely the numbers in  $\{1, 2, \dots, 10\}$  that are not divisible either by 2 or by 5.

- (a) Show that  $\varphi(p) = p - 1$  if  $p$  is prime.
- (b) Let  $p, q, r$  denote distinct primes. Prove formulae for  $\varphi(pq)$ ,  $\varphi(p^2q)$  and  $\varphi(pqr)$  using the PIE. (Define the sets you use in the PIE precisely.)
- (c) Recall that each integer  $N$  has a unique prime factorization  $M = p_1^{e_1} \dots p_s^{e_s}$  where  $p_1 < p_2 < \dots < p_n$  are primes and  $e_1, e_2, \dots, e_n \in \mathbf{N}$ . Prove that

$$\varphi(N) = N \left(1 - \frac{1}{p_1}\right) \left(1 - \frac{1}{p_2}\right) \dots \left(1 - \frac{1}{p_n}\right).$$

[*Hint:* you can use Theorem 5.6, or work directly from the PIE. Please do not assume the result in (d).]

- (d) Deduce from (c) that if  $M$  and  $N$  are coprime then  $\varphi(MN) = \varphi(M)\varphi(N)$ .
5. How many non-decreasing sequences of length 3 can one make from the set  $\{1, 2, \dots, 8\}$ ? [*Hint:* one approach is first to count the sequences with 3 distinct elements, then the sequences like  $(1, 1, 2)$  with 2 distinct elements, and finally the sequences like  $(1, 1, 1)$  with 3 equal elements. Or use Theorem 4.7.]

6. Give a bijective proof of the identity  $\sum_{k=0}^n \binom{n}{k} b^{n-k} = (1+b)^n$  for  $b \in \mathbf{N}_0$ .

7. (a) Explain why there are

$$\binom{11}{4} \binom{7}{4} \binom{3}{2}$$

different ways to arrange the letters of the word 'mississippi'.

- (b) How many ways are there to misspell 'abracadabra'?

8. Let  $a, b \in \mathbf{N}_0$  and let  $m \in \mathbf{N}_0$ . By finding the coefficient of  $x^m$  in either side of

$$(1+x)^a(1+x)^b = (1+x)^{a+b}$$

give a generating function proof of Vandermonde's convolution,

$$\sum_{k=0}^m \binom{a}{k} \binom{b}{m-k} = \binom{a+b}{m}.$$

9. Let  $X$  denote the set of all functions  $f : \{1, 2, \dots, k\} \rightarrow \{1, 2, \dots, n\}$ . For each  $i \in \{1, 2, \dots, n\}$  define

$$A_i = \{f \in X : f(t) \neq i \text{ for any } t \in \{1, 2, \dots, k\}\}.$$

- (a) What is  $|X|$ ? What is  $|A_i|$ ?
- (b) Let  $I \subseteq \{1, 2, \dots, n\}$  be a non-empty subset and let  $A_I = \bigcap_{i \in I} A_i$ . What condition must a function  $f \in X$  satisfy to lie in  $A_I$ ? Hence find  $|A_I|$ .
- (c) Use the Principle of Inclusion and Exclusion to show that the number of surjective functions from  $\{1, 2, \dots, k\}$  to  $\{1, 2, \dots, n\}$  is

$$\sum_{r=0}^n \binom{n}{r} (-1)^r (n-r)^k.$$

- (d) Show that the above expression is the number of ways to put  $k$  numbered balls into  $n$  numbered urns, so that each urn contains *at least* one ball.

10. Recall that  $d_n$  is the number of derangements of  $\{1, 2, \dots, n\}$ . Use the formula for  $d_n$  to prove that if  $n > 0$  then  $d_n$  is the nearest integer to  $n!/e$ .
11. Assume that any two people are either friends or enemies. Show that in any room containing six people there are either three mutual friends, or three mutual enemies. (Generalizations of this problem will be solved in Part C of the course.)

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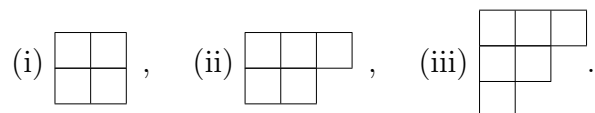
(a) you enjoyed or found clear, *and/or* (b) is confusing to you, despite some thought.

### MT354/454/5454 Combinatorics: Sheet 3

**Do questions 1, 2 and 4 and at least one other question. Please write your answer to question 2 on a separate sheet for peer-marking: 2(d) is optional.**

To be handed in at the lecture at 1pm on Friday 30th October.

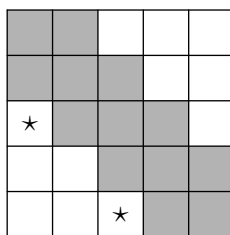
1. Find the rook polynomials of the boards below. (You may use any general lemmas proved in lectures.)



2. Let  $T$  be the set of all derangements  $\sigma$  of  $\{1, 2, 3, 4, 5\}$  such that

- $\sigma(i) \neq i + 1$  if  $1 \leq i \leq 4$ ,
- $\sigma(i) \neq i - 1$  if  $2 \leq i \leq 5$ .

- (a) Explain why  $|T|$  is the number of ways to place 5 non-attacking rooks on the board  $B$  formed by the unshaded squares below. (Include in your answer an explicit example of how a permutation corresponds to a rook placement.)



- (b) Find the rook polynomial of  $B$ , and hence find  $|T|$ . [*Hint: consider the four possibilities for the starred squares. For example, if both are occupied, the contribution to the rook polynomial is  $x^2 f_1(x) f_2(x)$  where  $f_n(x)$  is the rook polynomial of the  $n \times n$  square board.*]

- (c) Use Theorem 6.10 to find the number of ways to place 5 non-attacking rooks on the shaded squares.

- (d) (★) By adapting the argument used to prove Theorem 6.10, find the number of ways to place 4 non-attacking rooks on the shaded squares.

3. Let  $B$  be the board in Example 6.3. Show that the complement of  $B$  in the  $4 \times 4$  board has the same rook polynomial as  $B$ . [*Hint: for a calculation-free proof, argue that permuting the rows or columns of a board does not change its rook polynomial.*]

4. Prove by induction that if  $n \in \mathbf{N}$  then

$$\frac{1}{(1-x)^n} = \sum_{k=0}^{\infty} \binom{n+k-1}{k} x^k.$$

[*Hint: for the inductive step, try differentiating.*]

5. Find the number of permutations  $\sigma$  of  $\{1, 2, 3, 4, 5, 6\}$  such that  $\sigma(m) \neq m$  for any even number  $m$ .

6. (a) Prove that

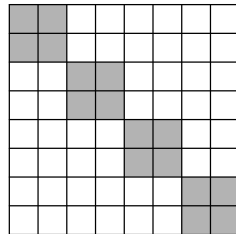
$$r \binom{n}{r} = (n - r + 1) \binom{n}{r - 1}$$

for  $n, r \in \mathbf{N}$  by reasoning about the number of ways to choose a pair  $(x, A)$  where  $A$  is an  $r$ -subset of  $\{1, 2, \dots, n\}$  and  $x \in A$ .

(b) Using (a), or otherwise, show that  $\binom{2n}{k}$  is maximized when  $k = n$ , and find the maxima of  $\binom{2n+1}{k}$ .

7. How many numbers between 100 and 300 can be formed from the digits 1, 2, 3, 4 if (i) repetition of digits is not allowed, (ii) repetition of digits is allowed?

8. Use Theorem 6.10 to find the number of ways that eight non-attacking rooks can be placed on the unshaded part of the board shown below.



9. A deck consists of 52 cards. There are four Aces, four Kings, four Queens and four Jacks. Use the Principle of Inclusion and Exclusion to find the number of hands of five cards that

- (a) have at least one Ace, King, Queen and Jack;
- (b) have at least one Ace, King and Queen.

This was Question 3 on the Preliminary Problem Sheet. You can check your answer using the answers to this sheet.

10. (For those who know about group homomorphisms.) Let  $G$  denote the set of all permutations of  $\{1, 2, \dots, n\}$ , thought of as the symmetric group of degree  $n$ . Given  $\sigma \in G$ , define an  $n \times n$  matrix  $A(\sigma)$  by

$$\begin{cases} A_{ij} = 1 & \text{if } \sigma(j) = i \\ A_{ij} = 0 & \text{otherwise.} \end{cases}$$

Show that the map  $\sigma \mapsto A(\sigma)$  is an injective group homomorphism from  $G$  into the group of all invertible  $n \times n$  real matrices. What do  $\text{Tr } A(\sigma)$  and  $\det A(\sigma)$  determine about  $\sigma$ ?

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## MT354/454/5454 Combinatorics: Sheet 4

Do questions 1, 2 and 3 at least one other question.

To be returned to McCrea 240 by 11am on Tuesday 10th November or handed in at the Tuesday lecture.

- (a) Suppose that  $2a_n = a_{n-1} + a_{n-2}$  for  $n \geq 2$ . Use generating functions to find a formula for  $a_n$  in terms of  $a_0$  and  $a_1$ .

(b) Let  $A \in \mathbf{N}$ . Solve the recurrence  $a_n = 3a_{n-1} - 3a_{n-2} + a_{n-3}$  for  $n \geq 3$  subject to the initial conditions  $a_0 = 0$ ,  $a_1 = 1$ ,  $a_2 = A$ .
- Write out a complete proof of Theorem 8.4 following the three-step programme.
- Let  $n \in \mathbf{N}$  be given. Let  $b_k$  be the number of  $n$ -tuples  $(t_1, \dots, t_n)$  such that  $t_i \in \mathbf{N}$  for each  $i$  and  $t_1 + \dots + t_n = k$ . Such a tuple is called a *composition* of  $k$  into  $n$  parts.

- Show that  $b_k = 0$  if  $k < n$  and give formulae for  $b_n$  and  $b_{n+1}$ .
- Let  $F(x) = \sum_{k=0}^{\infty} b_k x^k$ . Show that

$$F(x) = \left( \frac{x}{1-x} \right)^n.$$

[Hint: Compare Example 7.3: note that now  $t_i \in \mathbf{N}$  for each  $i$ .]

- Deduce from Theorem 7.4 (or Question 4 on Sheet 3) that

$$F(x) = \sum_{r=0}^{\infty} \binom{n+r-1}{r} x^{r+n}.$$

Find the coefficient of  $x^k$  in the right-hand side and show that  $b_k = \binom{k-1}{n-1}$ .

- Hence, or otherwise, show that the number of compositions of  $k \in \mathbf{N}$  into any number of parts is  $2^{k-1}$ . [**Update: changed notation from  $n$  to  $k$  to make consistent with earlier parts.**]
- Let  $a \in \mathbf{N}_0$  and let  $m \in \mathbf{N}_0$ . By finding the coefficient of  $x^{2m}$  in either side of  $(1-x)^a(1+x)^a = (1-x^2)^a$  prove that

$$\sum_{k=0}^{2m} (-1)^k \binom{a}{k} \binom{a}{2m-k} = (-1)^m \binom{a}{m}.$$

- Do part (ii) of the exercise below Theorem 7.5. Show that if  $c, m \in \mathbf{N}$  then

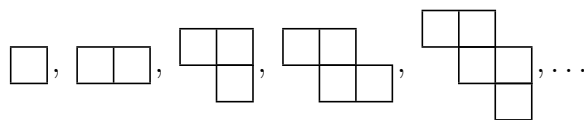
$$\sum_{k=0}^m (-1)^k \binom{c+k-1}{k} \binom{c}{m-k} = 0.$$

6. A *Latin square* is an  $n \times n$  square in which every row and column contains each of the numbers  $1, 2, \dots, n$  exactly once. Let  $L$  be the incomplete Latin square shown below

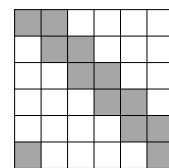
1	2	3	4	5
2	3	1	5	4

Let  $B$  be the board with a square in position  $(i, j)$  if and only if the number  $i$  can be put in row 3 and column  $j$  of  $L$ . Find the rook polynomial of  $\overline{B}$  and hence find the number of ways to complete the third row of  $L$ .

7. (Problème des Ménages.) Let  $B_m$  denote the board with exactly  $m$  squares in the sequence shown below.



- (a) Prove that the rook polynomial of  $B_m$  is  $\sum_k \binom{m-k+1}{k} x^k$ . [Hint: there is a very short proof using Question 5 on Sheet 1.]
- (b) Find the number of ways to place 6 non-attacking rooks on the unshaded squares of the board shown in the margin.
- (c) At a dinner party six married couples are to be seated around a circular table. Men and women must sit in alternate places, and no-one may sit next to their spouse. In how many ways can this be done? [Hint: first seat the women, then use (b) to count the number of ways to seat the men.]



8. This question gives an alternative proof of the Principle of Inclusion and Exclusion. Fix a set  $X$ . For each  $A \subseteq X$ , define a function  $1_A : X \rightarrow \{0, 1\}$  by

$$1_A(x) = \begin{cases} 1 & \text{if } x \in A \\ 0 & \text{if } x \notin A. \end{cases}$$

We say that  $1_A$  is the *indicator function* of  $A$ .

- (a) Show that if  $B, C \subseteq X$  then  $1_{B \cap C}(x) = 1_B(x)1_C(x)$  for all  $x \in X$ .
- (b) Let  $A_1, A_2, \dots, A_n$  be subsets of  $X$ . Show that

$$1_{\overline{A_1 \cup A_2 \cup \dots \cup A_n}} = (1_X - 1_{A_1})(1_X - 1_{A_2}) \dots (1_X - 1_{A_n}).$$

- (c) By multiplying out the right-hand side and using (a) show that

$$1_{\overline{A_1 \cup A_2 \cup \dots \cup A_n}} = \sum_{I \subseteq \{1, 2, \dots, n\}} (-1)^{|I|} 1_{A_I}$$

where  $A_I$  is as defined just before Theorem 5.3.

- (d) Prove Theorem 5.3 by summing the previous equation over all  $x \in X$ .



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### MT354/454/5454 Combinatorics: Sheet 5

Do questions 1, 2 and 3 and at least one other question.

To be handed in at the lecture on Tuesday 17th November.

1. Write out a complete proof of Theorem 9.5. In the first part you should show that

$$xF(x) = \frac{1 - \sqrt{1 - 4x}}{2}.$$

Then use Theorem 7.5 to show that the coefficient of  $x^{n+1}$  in  $xF(x)$  is  $\frac{1}{n+1} \binom{2n}{n}$ .

2. Let  $a_0, a_1, a_2, \dots$  be a sequence of real numbers and let  $F(x) = \sum_{n=0}^{\infty} a_n x^n$  be the associated generating function. Let  $c_0, c_1, c_2, \dots$  be the convolution of  $a_0, a_1, a_2, \dots$  with the constant sequence  $1, 1, 1, \dots$

(a) Write down a formula for  $c_n$  in terms of  $a_0, a_1, a_2, \dots$

(b) Express the generating function  $\sum_{n=0}^{\infty} c_n x^n$  in terms of  $F$ .

3. Let  $u_0, u_1, u_2, \dots$  denote the sequence of Fibonacci numbers, as defined by  $u_0 = 0$ ,  $u_1 = 1$  and  $u_n = u_{n-1} + u_{n-2}$  for  $n \geq 2$ . Let  $F(x) = \sum_{n=0}^{\infty} u_n x^n$  be the associated generating function. You may assume that  $F(x) = x/(1 - x - x^2)$ .

(a) Let  $v_n = u_{n+2} - 1$ . Find the generating function of  $v_0, v_1, v_2, \dots$  in terms of  $F$ .

(b) Let  $c_n = \sum_{k=0}^n u_k$ . Find the generating function of  $c_0, c_1, c_2, \dots$  in terms of  $F$ .

(c) Hence prove that  $\sum_{k=0}^n u_k = u_{n+2} - 1$  for all  $n \geq 0$ .

4. (a) Arguing directly from Definition 9.4 show that the Catalan numbers satisfy the recurrence

$$C_n = C_0 C_{n-1} + C_1 C_{n-2} + \dots + C_{n-2} C_1 + C_{n-1} C_0$$

for all  $n \in \mathbf{N}$ .

(b) Hence show that if  $F(x) = \sum_{n=0}^{\infty} C_n x^n$  then  $xF(x)^2 = F(x) - 1$ .

5. The grocer sells apples, bananas, cantaloupe melons and dates. Find, in as simple form as possible, the generating function for the number of ways to buy  $n$  pieces of fruit, such that all of the following hold:

(i) the number of apples purchased is a multiple of 5;

(ii) at most 4 bananas are bought;

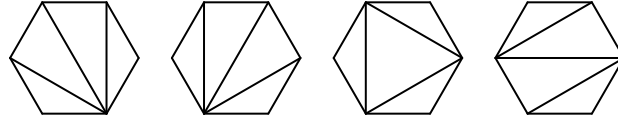
(iii) at most 1 melon is bought;

(iv) the number of dates purchased is odd.

Hence find the number of possible purchases of  $n$  pieces of fruit.

6. Define the sequence of Fibonacci numbers as in Question 3. Let  $G(x) = \sum_{n=0}^{\infty} u_n x^n / n!$ . Show that  $G''(x) = G'(x) + G(x)$  and hence find a formula for  $u_n$  without making any use of partial fractions.

7. For each  $n \geq 3$  let  $T_n$  denote the number of ways in which a regular  $n$ -gon can be divided into triangles. For example, four of the 14 possible divisions of a hexagon are shown below. (Note that the  $n$ -gon sits in a fixed position in the plane: rotations and reflections should *not* be considered in this question.)



- (a) Find  $T_3$ ,  $T_4$  and  $T_5$ .  
 (b) Prove that

$$T_{n+1} = T_n + T_{n-1}T_3 + T_{n-2}T_4 + \cdots + T_3T_{n-1} + T_n$$

for all  $n \geq 3$ . Hence prove that  $T_n = C_{n-2}$ . [Hint: use the recurrence proved in Question 4.]

8. Let  $r \in \mathbf{N}$  and let  $\zeta = \exp(2\pi i/r)$ . Show that if  $F(x) = \sum_{n=0}^{\infty} a_n x^n$  then

$$F(x) + F(\zeta x) + F(\zeta^2 x) + \cdots + F(\zeta^{r-1} x) = r \sum_{m=0}^{\infty} a_{rm} x^{rm}.$$

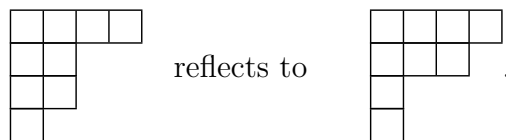
9. Prove that

$$\frac{1}{\sqrt{1-4x}} = \sum_{n=0}^{\infty} \binom{2n}{n} x^n.$$

By squaring both sides deduce the identity

$$\sum_{m=0}^n \binom{2m}{m} \binom{2n-2m}{n-m} = 4^n.$$

10. The *conjugate* of a partition is obtained by reflecting its Young diagram in its major diagonal. For example  $(4, 2, 2, 1)$  has conjugate  $(4, 3, 1, 1)$  since



We write  $\lambda'$  for the conjugate of a partition  $\lambda$ .

- (a) Show that  $\lambda$  has exactly  $k$  parts if and only if  $k$  is the largest part of  $\lambda'$ .  
 (b) Show that the number of partition  $\lambda$  of  $n$  such that  $\lambda = \lambda'$  is equal to the number of partitions of  $n$  into odd distinct parts.  
 (c) Hence find the generating function for the number of partitions of  $n$  that are equal to their conjugate partition.

**Feedback:** Please write down something from lectures that

(a) you enjoyed or found clear, *and/or* (b) is confusing to you, despite some thought.

## MT354/454/5454 Combinatorics: Sheet 6

Do questions 1, 2 and 5 and at least one other.

To be returned to McCrea 240 by noon on Tuesday 24th November or handed in at the Tuesday lecture.

1. Let  $a_n$  be the number of partitions of  $n \in \mathbf{N}$  into parts of size 3 and 5.

(a) Show that  $a_{15} = 2$  and find  $a_{14}$  and  $a_{16}$ .

(b) Explain why

$$\sum_{n=0}^{\infty} a_n x^n = \frac{1}{(1-x^3)(1-x^5)}.$$

(c) Let  $c_n$  be the number of partitions of with parts of sizes 3 and 5 whose sum of parts is *at most*  $n$ . (For example,  $c_6 = 5$ , the relevant partitions are  $\emptyset$ , (3), (5), (3, 3).) Find the generating function of  $c_n$ .

2. Let  $b_n$  be the number of partitions of  $n$  that have at most one part of each odd size. For example,  $b_6 = 5$ : the relevant partitions are (6), (5, 1), (4, 2), (3, 2, 1), (2, 2, 2). Express the generating function  $\sum_{n=0}^{\infty} b_n x^n$  as an infinite product.

3. Show that there is a red-blue colouring of  $K_5$  with no monochromatic triangle.

4. Let  $G$  be a graph with vertex set  $\{1, 2, \dots, n\}$  and edge set  $E(G)$ . Let  $G'$  be the graph on  $\{1, 2, \dots, n\}$  with edge set  $E(G')$  defined by  $\{i, j\} \in E(G')$  if and only if  $\{i, j\} \notin E(G)$ .

(a) Show that at least one of  $G$  and  $G'$  is connected.

(b) Can both  $G$  and  $G'$  be connected?

(c) Show that in red-blue colouring of  $K_n$  either the red edges or the blue edges form a connected graph.

5. Let  $s, t \geq 2$ . By constructing a suitable red-blue colouring of  $K_{(s-1)(t-1)}$  prove that  $R(s, t) > (s-1)(t-1)$ . [Hint: start by partitioning the vertices into  $s-1$  blocks each of size  $t-1$ . Colour edges within each block with one colour ...]

6. Let  $s, t \geq 2$ .

(a) Prove that if  $R(s, t)$  exists then  $R(t, s)$  exists and  $R(s, t) = R(t, s)$ .

(b) Prove that if  $s' \geq s, t' \geq t$  and  $R(s', t')$  exists, then  $R(s, t)$  exists and  $R(s, t) \leq R(s', t')$ .

7. Given a non-empty partition  $\lambda$ , let  $r(\lambda)$  denote the greatest  $r \in \mathbf{N}$  such that  $\lambda_r \geq r$ . For example, if  $\lambda = (7, 5, 3, 3, 2)$  then  $r(\lambda) = 3$ . The *Durfee square* of  $\lambda$  consists of all the boxes in the Young diagram of  $\lambda$  that are in both its first  $r(\lambda)$  rows and its first  $r(\lambda)$  columns. Use Durfee squares to prove the identity

$$\prod_{j=1}^{\infty} \frac{1}{1-q^j} = 1 + \sum_{r=1}^{\infty} \frac{q^{r^2}}{(1-q)^2(1-q^2)^2 \dots (1-q^r)^2}.$$

8. Let  $\ell \geq 2$ . A partition is said to be  $\ell$ -regular if it has at most  $\ell - 1$  parts of any given size.

(a) Show that the generating function for  $\ell$ -regular partitions is

$$\prod_{j=1}^{\infty} (1 + x^j + x^{2j} + \dots + x^{(\ell-1)j}).$$

(b) Show that for each  $n \in \mathbf{N}$ , the number of  $\ell$ -regular partitions of  $n$  is equal to the number of partitions of  $n$  into parts not divisible by  $\ell$ .

(c) ( $\star$ ) Find a bijective proof of (b), taking  $\ell = 2$  if you wish.

9. Three applications of the Pigeonhole Principle.

(a) Making any reasonable assumptions, prove that there are two students at British universities whose bank balances agree to the nearest penny.

(b) Prove that if five points are chosen inside an equilateral triangle of size 1 then there are two points whose distance is  $\leq 1/2$ .

(c) Show that in any sequence of  $n$  integers, there is a consecutive subsequence whose sum is divisible by  $n$ . (For example, in 1, 4, 5, 1, 2, 2, 1, the sum of 4, 5, 1, 2, 2 is divisible by 7.)

10. (For hints, see page 26 of printed notes.) Let  $P(x) = \sum_{n=0}^{\infty} p(n)x^n$ .

(a) Use Theorem 10.3 to prove that

$$\log P(x) = \sum_{r=1}^{\infty} \frac{x^r}{r(1-x^r)}.$$

(b) Hence show that if  $y \geq 1$  then  $\log P(e^{-y}) \leq \pi^2/6y$ .

(c) Using the inequality  $p(n)e^{-yn} \leq P(y)$  and taking logs, show that  $\log p(n) \leq ny + \pi^2/6y$ .

(d) By making a strategic choice of  $y$ , prove that  $p(n) \leq e^{c\sqrt{n}}$  where  $c = 2\sqrt{\frac{\pi^2}{6}}$ .

11. Suppose that the edges of the complete graph  $K_{2m}$  are coloured red and blue. Define a *fork* to be a pair  $(x, \{y, z\})$  where  $x, y, z \in \{1, 2, \dots, 2m\}$  are distinct and the edges  $\{x, y\}$  and  $\{x, z\}$  have the same colour. Let  $f$  be the number of forks. Let  $t$  be the number of monochromatic triangles.

(a) Show that  $f = \binom{2m}{3} + 2t$ .

(b) Show that  $f \geq 2m \left( \binom{m}{2} + \binom{m-1}{2} \right)$ .

(c) Hence show that  $t \geq 2\binom{m}{3}$ .

Taking  $m = 3$  in (c) implies that in any red-blue colouring of  $K_6$  there are at least two monochromatic triangles. Show that this result is best possible.

**Feedback:** Please write down something from lectures that

(a) you enjoyed or found clear, *and/or* (b) is confusing to you, despite some thought.

### MT354/454/5454 Combinatorics: Sheet 7

**Do at least questions 1, 5, 7 and 10. Please also do Question 5 on Sheet 6 if you have not already done so.**

To be returned to McCrea 240 by 10am on Thursday 3rd December or handed in at the Thursday lecture. Question 4(d) is optional.

1. Prove that  $R(4, 4) \leq 18$ . You may assume Theorem 11.9. Do not use results from Section 12.
2. Suppose that the edges of  $K_{17}$  are coloured red, blue and green. By adapting the argument used in Examples 11.3, 11.6 and Lemma 12.1, show that there is a monochromatic triangle. [*Hint: to get started, show that there are 6 edges of the same colour meeting vertex 1.*]
3. Given  $t \in \mathbf{N}$ , let  $G_t$  denote the complete graph on  $\{1, 2, \dots, 3(t-1) - 1\}$ , coloured so that the edge  $\{x, y\}$  with  $x < y$  is red if  $y - x \equiv 1 \pmod{3}$ , and blue if  $y - x \equiv 0$  or  $2 \pmod{3}$ .
  - (a) Draw  $G_2$  and  $G_3$ .
  - (b) Prove that  $G_t$  has no red  $K_3$ .
  - (c) Suppose that  $S \subseteq \{1, 2, \dots, n\}$  is a blue  $K_t$  in  $G(t)$ . Let  $S = \{x_1, x_2, \dots, x_t\}$  where  $x_1 < x_2 < \dots < x_t$ . By considering the differences  $x_j - x_i$  for  $1 \leq i < j \leq t$ , get a contradiction.
  - (d) Deduce that  $R(3, t) \geq 3(t-1)$ .
4.
  - (a) Use Lemma 12.1 to prove that  $R(3, t) \leq t(t+1)/2$  for all  $s \in \mathbf{N}$ . (Please do not use Theorem 12.2.)
  - (b) Give a self-contained proof that if  $t \leq t'$  then  $R(3, t) \leq R(3, t')$ .
  - (c) Use parts (a) and (b) together with the result of Question 3 to give upper and lower bounds for  $R(3, 6)$  and  $R(3, R(3, 6))$ .
  - (d) ( $\star$ ) Prove the stronger result that if  $t < t'$  then  $R(3, t) < R(3, t')$ .
5. Find an explicit  $n$  such that if the edges of  $K_n$  are coloured red, blue, green and yellow, then there exists a monochromatic  $K_4$ . (You may use any known bounds on the two-colour Ramsey Numbers.)
6. Given  $s, t \in \mathbf{N}$ , let  $D(s, t)$  denote the smallest  $n$  (if one exists) such that whenever the 3-subsets of  $\{1, 2, \dots, n\}$  are coloured red and blue then either there is an  $s$ -subset  $S \subseteq \{1, 2, \dots, n\}$  such that all the 3-subsets of  $S$  are red; or there is a  $t$ -subset  $T \subseteq \{1, 2, \dots, n\}$  such that all the 3-subsets of  $T$  are blue.
  - (a) Prove that  $D(3, s) = D(s, 3) = s$  for all  $s \in \mathbf{N}$ .
  - (b) Prove that  $D(4, 4) \leq R(4, 4) + 1 = 19$ . [*Hint: consider the colouring on the 2-subsets of  $\{2, 3, \dots, 19\}$  induced by giving  $\{x, y\}$  the colour of  $\{1, x, y\}$ .*]
  - (c) Give an explicit upper bound for  $D(5, 5)$ .

7. Let  $x_1, x_2, \dots, x_N$  be a sequence of distinct integers. Prove that, provided  $N$  is sufficiently large, there is either an increasing subsequence of length 2015 or a decreasing subsequence of length 2015. [*Hint: given  $i$  and  $j$  such that  $1 \leq i < j \leq N$ , colour the edge  $\{i, j\}$  of  $K_N$  red if  $x_i < x_j$  and blue if  $x_i > x_j$ .]*
8. Let  $V = \{0, 1, 2, \dots, 16\}$  and let  $G$  be the complete graph on  $V$ . Given  $x, y \in V$  with  $x < y$ , colour the edge  $\{x, y\}$  red if  $y - x$  is a square number modulo 17, and blue otherwise. For example  $\{2, 10\}$  is red because  $10 - 2 \equiv 5^2 \pmod{17}$ .
- Show if  $x, y, u \in V$  and  $u \neq 0$  then  $\{x + u, y + u\}$  and  $\{xu^2, yu^2\}$  have the same colour as  $\{x, y\}$ . (Here  $x + u$  etc. should be taken modulo 17.)
  - Prove that  $G$  has no monochromatic set of size 4. [*Hint: use symmetry and (a) to reduce the number of cases that have to be considered.*]
  - Hence prove that  $R(4, 4) = 18$ . You may assume Theorem 11.10.
9. By comparing  $\int_1^n \log x \, dx$  with  $\log n!$  prove that

$$\left(\frac{n}{e}\right)^n \leq n! \leq \left(\frac{n}{e}\right)^n en$$

for all  $n \in \mathbf{N}$ . (These bounds are crude, but often useful in practice.)

10. At the University of Erewhon, whenever any of its  $n$  employees has a birthday, the university closes and everyone takes the day off. Apart from this there are no holidays whatsoever. Local laws require that people are appointed without regard to their date of birth (and there are no leap years).
- Show that the probability that the university is open on 25th December is  $\left(1 - \frac{1}{365}\right)^n$ .
  - Prove, using linearity of expectation, that the expected number of days of the year when the university is open is  $365\left(1 - \frac{1}{365}\right)^n$ .
  - The Pro-Vice Chancellor for Administrative Affairs wishes to maximize the number of person-days worked over the year. Advise him on an optimal choice for  $n$ .
11. Let  $0 \leq p \leq 1$  and let  $n \in \mathbf{N}$ . Suppose that a coin biased to land heads with probability  $p$  is tossed  $n$  times. Let  $X$  be the number of times the coin lands heads.
- Describe a suitable probability space  $\Omega$  and probability measure  $p : \Omega \rightarrow \mathbf{R}$  and define  $X$  as a random variable  $\Omega \rightarrow \mathbf{R}$ .
  - Find  $\mathbf{E}[X]$  and  $\mathbf{Var}[X]$ . [*Hint: write  $X$  as a sum of  $n$  independent random variables and use linearity of expectation and Lemma 13.14(ii).*]
  - Find a simple closed form for the generating function  $\sum_{k=0}^{\infty} \mathbf{P}[X = k]x^k$ . (Such power series are called *probability generating functions*.)

**Feedback:** Please write down something from lectures that

(a) you enjoyed or found clear, *and/or* (b) is confusing to you, despite some thought.

## MT354/454/5454 Combinatorics: Sheet 8

Do questions 2, 3 and 6 and at least one other.

The questions marked ( $\star$ ) are a little harder than average. To be returned to McCrea 240 by 10am on Thursday 10th December or handed in at the Thursday lecture.

- Show, by counting permutations, that the probability 1 and 2 lie in the same cycle of a permutation of  $\{1, 2, 3, 4\}$ , chosen uniformly at random, is  $1/2$ .
  - Let  $\sigma = (1, 2, 3, 4, 5, 6)$  and let  $\tau = (3, 5)$ . Write  $\tau \circ \sigma$  and  $\tau \circ \sigma \circ \tau$  as compositions of disjoint cycles.
- Let  $n \geq 2$  and let  $1 \leq x < y \leq n$ . Let  $\tau$  be the transposition  $(x, y)$ .
  - Show that if  $\sigma$  is a permutation of  $\{1, 2, \dots, n\}$  then  $x$  and  $y$  lie in the same cycle of  $\sigma$  if and only if  $x$  and  $y$  lie in different cycles of  $\tau \circ \sigma$ .
  - Hence find the probability that  $x$  and  $y$  lie in the same cycle of a permutation of  $\{1, 2, \dots, n\}$  chosen uniformly at random.
- A lion-tamer has  $n$  numbered cages, arranged in a line, and  $k$  lions. Each cage can accommodate at most one lion.
  - Let  $1 \leq r < n$ . If the lion-tamer puts the lions into the cages at random, what is the probability that both cages  $r$  and  $r + 1$  are occupied?
  - On average, how many pairs of adjacent cages will both contain lions? [*Hint: use linearity of expectation.*]
- Let  $\Omega$  be the probability space of all permutations of  $\{1, 2, 3, 4, 5, 6\}$  in which each permutation has probability  $1/6!$ . Define

$$A = \{\sigma \in \Omega : \sigma(2) < \sigma(1) < \sigma(4)\}$$

$$B = \{\sigma \in \Omega : \sigma(6) < \sigma(1) < \sigma(2)\}$$

$$C = \{\sigma \in \Omega : \sigma(6) < \sigma(1) < \sigma(4)\}.$$

- Show that  $\mathbf{P}[A] = \mathbf{P}[B] = \mathbf{P}[C] = 1/3!$ . [*Hint: in a permutation of  $\{1, 2, \dots, 6\}$ , there are  $3!$  possible relative orders for  $\sigma(2)$ ,  $\sigma(1)$ ,  $\sigma(4)$ . Each relative order is equally likely, so  $1/6$  of all permutations have  $\sigma(2) < \sigma(1) < \sigma(4)$ , a further  $1/6$  have  $\sigma(2) < \sigma(4) < \sigma(1)$ , and so on.]*
  - Show that  $\mathbf{P}[A \cap B] = 0$  and that  $\mathbf{P}[A \cap C] = \mathbf{P}[B \cap C] = 2/4!$ .
  - Using the Principle of Inclusion and Exclusion, find the number of ways in which the letters A, B, C, D, E, F may be arranged so that none of the words BAD, FAB, FAD can be obtained by crossing out some of the letters.
- Let  $F$  be the number of fixed points of a permutation of  $\{1, 2, \dots, n\}$ , chosen uniformly at random. By adapting the argument used to prove Theorem 14.1, find  $\mathbf{E}[F^2]$ . Hence find  $\mathbf{Var}[F]$ .

6. Describe each of the proofs you have seen that the number of derangements of  $\{1, 2, \dots, n\}$  is

$$n! - \frac{n!}{1!} + \frac{n!}{2!} - \dots + \frac{(-1)^n}{n!}.$$

(One or two lines per proof is ample.) Which proof is your favourite?

7. Let  $\Omega$  be a probability space and let  $X : \Omega \rightarrow \mathbf{N}_0$  be a random variable. Prove, using the formula after Definition 13.10, that

$$\mathbf{E}[X] = \sum_{k=1}^{\infty} \mathbf{P}[X \geq k].$$

Deduce Markov's inequality, that  $\mathbf{P}[X \geq k] \leq \mathbf{E}[X]/k$  for each  $k \in \mathbf{N}$ .

8. ( $\star$ ) In a room there are 100 numbered lockers. Each locker contains a piece of paper numbered between 1 and 100 so that each number is used exactly once. A team of 100 numbered people are let into the room, one at a time in numerical order. Each person is allowed to open up to 50 lockers before leaving the room. If every team member finds the piece of paper with his or her number on it, the team succeeds, otherwise they fail. (After each visit the room is returned to its original state, and once someone has visited the room, they cannot communicate with their colleagues.)

Find a strategy that gives the team a probability of success  $\geq 1/10$ .

9. In an election there are two candidates  $A$  and  $B$ , each of whom gets exactly  $n$  votes. Let  $c_n$  be the number of ways in which the votes may be counted so that candidate  $A$  is never behind candidate  $B$ . (For example,  $c_3 = 5$ ; the corresponding ballot sequences are  $AAABBB$ ,  $AABABB$ ,  $AABBAB$ ,  $ABAABB$ ,  $ABABAB$ .)

- Show that  $c_n = \sum_{j=1}^n c_{j-1}c_{n-j}$  for each  $n \in \mathbf{N}$ .
- Hence show that  $c_n$  is equal to the  $n$ th Catalan Number  $C_n$ .
- Find the probability that when the votes are counted,  $A$  is never behind  $B$ .

10. Let  $m, n \in \mathbf{N}$ . A platoon of  $mn$  soldiers is arranged in  $m$  rows of  $n$  soldiers. The sergeant orders the soldiers in each row to rearrange themselves in decreasing order of height and then issues the same order for the columns.

- Show that the tallest soldier is now in the first row and the first column.
- Show that the rows are still arranged in decreasing order of height. [*Hint: there is an argument using the pigeonhole principle.*]

11. ( $\star$ ) Let  $n \in \mathbf{N}$ . Let  $f \in \mathbf{N}$  be such that  $f \leq n$ . Show that the number of permutations of  $\{1, 2, \dots, n\}$  with *at least*  $f$  fixed points is

$$\frac{n!}{(f-1)!} \sum_{r=f}^n \frac{(-1)^{r-f}}{r(r-f)!}.$$



**Feedback:** Please write down something from lectures that

(a) you enjoyed or found clear, *and/or* (b) is confusing to you, despite some thought.

## MT354/454/5454 Combinatorics: Sheet 9

**Do at least questions 2, 4 and 5.**

The questions marked (★) are harder than average. To be returned to McCrea 240 by 5pm Tuesday on the first week of next term.

1. Let  $\sigma$  be a permutation of  $\{1, 2, \dots, n\}$ , chosen uniformly at random. Find the average length of the cycle of  $\sigma$  containing 1.
2. Let  $e_n$  be the expected number of cycles in a permutation of  $\{1, 2, \dots, n\}$  chosen uniformly at random. Show, using linearity of expectation, that  $e_n = \sum_{k=1}^n 1/k$ . (You may use Theorem 14.8.)
3. Let  $t_n$  be the probability that a permutation of  $\{1, 2, \dots, n\}$ , chosen uniformly at random, has a cycle of length  $> n/2$ .
  - (a) Use Theorem 14.8 to show that  $t_n = \sum_{n/2 < k \leq n} 1/k$ .
  - (b) Hence show that  $t_n \rightarrow \log 2$  as  $n \rightarrow \infty$ .
4. Suppose that the edges of the complete graph on  $\{1, 2, \dots, n\}$  are coloured red, blue and green. Adapt the proof of Theorem 15.5 to show that if

$$3^{1-\binom{s}{2}} \binom{n}{s} < 1$$

then there is a colouring with no monochromatic  $K_s$ . What is the resulting bound on the three-colour Ramsey number for  $s = 10$ ?

5. Let  $n \in \mathbf{N}$  and let  $G$  be the complete graph on  $\{1, 2, \dots, 9\}$ . Suppose that a subset  $A$  of  $\{1, 2, \dots, 9\}$  is chosen uniformly at random. Let  $B = \{1, 2, \dots, 9\} \setminus A$ . What is the probability that the cut  $(A, B)$  has capacity  $\geq m/2$ , where  $m$  is the number of edges of  $G$ ?
6. Let  $K$  denote the complete graph on  $\mathbf{N}$ , so  $\{x, y\}$  is an edge of  $K$  for all distinct  $x, y \in \mathbf{N}$ . Show that if the edges of  $K$  are coloured red and blue then there is an infinite subset  $S$  of  $\mathbf{N}$  such that all the edges  $\{x, y\}$  for  $x, y \in S$  have the same colour.
7. (★) Let  $A_k$  be the set of permutations of  $\{1, 2, \dots, n\}$  in which 1 lies in a  $k$  cycle. Find a bijective proof that  $|A_k| = |A_{k+1}|$  for all  $k$  such that  $1 \leq k < n$ .
8. An aircraft has exactly 100 seats. The 100 people due to travel on it are lined up, in a random order. The first person in the queue has forgotten his seat number, and so sits in one of the seats at random. The remaining 99 people all know their seat numbers and so if their seat is not taken, they sit in it. If their seat is taken, they are too shy to complain and so they sit in a free seat which they choose at random.

Find the probability that the last person in the queue sits in his or her own seat.

9. This question gives an alternative proof of Theorem 6.10 using ideas from generating functions. Let  $B$  be a board contained in an  $n \times n$  grid. Let  $c_m(B)$  be the number of ways to place  $n$  non-attacking rooks on the  $n \times n$  grid so that *exactly*  $m$  rooks are on  $B$ .

(a) Show that the number of ways to place  $k$  red rooks on  $B$  and  $n - k$  blue rooks anywhere on the grid, so that all  $n$  rooks are non-attacking, is  $\sum_{m=k}^n \binom{m}{k} c_m(B)$ .

(b) Deduce from Lemma 6.9 that  $\sum_{m=k}^n \binom{m}{k} c_m(B) = r_k(B)(n - k)!$ .

(c) Hence show that if  $N(x) = \sum_{m=0}^n c_m(B)x^m$  then

$$N(x + 1) = \sum_{k=0}^n r_k(B)(n - k)!x^k.$$

(d) By substituting  $x = -1$  in the above equation, prove Theorem 6.10.

10. Prove that if  $n, r \in \mathbf{N}$  then

$$r(r - 1) \binom{n}{r} = 2 \binom{n}{2} \binom{n - 2}{r - 2}$$

by interpreting each side as the number of ways to choose a committee of  $r$  people, one of whose members is the secretary and another is the chairperson.

11. Use generating functions to find formulae for the  $n$ th term of the sequences defined by the recurrence relations: (a)  $a_n = 6a_{n-2} - a_{n-1}$ ; (b)  $mb_m = (m + 2)b_{m-1}$ ,  $b_0 = 1$ .

12. By adapting the argument used in Wilf *generatingfunctionology*, Example 4, page 37, find a formula for  $\sum_{k=1}^n k^3$ .

13. There are 10 pirates who have recently acquired a bag containing 100 coins. The leader, number 1, must propose a way to divide up the loot. For instance he might say 'I'll take 91 coins and the rest of you can have one each'. A vote is then taken. If the leader gets half or more of the votes (the leader getting one vote himself), the loot is so divided. Otherwise he is made to walk the plank by his dissatisfied subordinates, and number 2 takes over, with the same responsibility to propose an acceptable division.

Assuming that the pirates are all greedy, untrustworthy, and capable mathematicians, what happens? [*Hint: try thinking about a smaller 2 or 3 pirate problem to get started.*]

14. (★) Let  $c_e(n)$  and  $c_o(n)$  be the number of derangements of  $\{1, 2, \dots, n\}$  be the number of derangements of  $\{1, 2, \dots, n\}$  with, respectively, an even number of cycles and an odd number of cycles, in their disjoint cycle decomposition. Prove that  $c_o(n) - c_e(n) = n - 1$  for all  $n \in \mathbf{N}_0$ .